



# UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/067,520	02/05/2002	Krishnan Shrinivasan	NOVLP029/NVLS-000495

022434  
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**CONFIRMATION NO. 7203**

**FORMALITIES LETTER**



"OC000000007558867"

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Date Mailed: 02/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1806.
  - \$1638 for 91 total claims over 20.
  - \$168 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2676.**

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*A copy of this notice MUST be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/27/2002 MAHMED1 00000109 10067520

01 FC:101	740.00 OP
02 FC:102	168.00 OP
03 FC:103	1638.00 OP
04 FC:105	130.00 OP



S/N

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PATENT

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In application of: Shrinivasan et al.

Attorney Docket No.: NOVLP029/NVLS-000495

Application No.: 10/067,520

Examiner: Not yet assigned

Filed: February 5, 2002

Group: 2811

Title: APPARATUS AND METHODS FOR  
PROCESSING SEMICONDUCTOR  
SUBSTRATES USING SUPERCRITICAL  
FLUIDS

COPY OF PAPERS  
ORIGINALLY FILED**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 20231 on March 14, 2002.

Signed:

Tara Hayden

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner for Patents  
Box: Missing Parts  
Washington, D.C. 20231

Sir:

Enclosed herewith is the original, executed Assignment w/ Assignment Recordation Cover Sheet, Declaration and Power of Attorney for Patent Application in response to the Notice to File Missing Parts of Application--Filing Date Granted February 28, 2002. Please file this document in the subject application.

Enclosed is our Check No. 5413 for \$2,716.00 in payment of the Assignment, filing fee, surcharge and excess claims fee. The Commissioner is authorized to charge any other fees that may be due to our Deposit Account No. 500388 (Order No. NOVLP029).

Respectfully submitted,

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